

Secte

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)	Docket No. 15115/005001
---	-----------------------------------

In Re Application Of: **Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA**

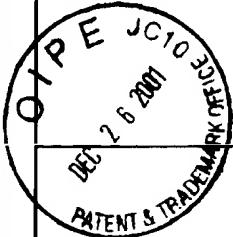
Serial No.
09/903,437

Filing Date
July 11, 2001

Examiner

Group Art Unit
3672

Invention: **OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:
Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 27, 2001
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

English translation of the specification

Substitute drawings

Verification of Translation

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
15115/005001

In Re Application Of: **Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA**

Serial No.	Filing Date	Examiner	Group Art Unit
09/903,437	July 11, 2001		

Invention: **OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	\$740.00
<input type="checkbox"/> Design application filing fee	—
<input checked="" type="checkbox"/> Total number of independent claims = 12	\$756.00
<input checked="" type="checkbox"/> Total number of claims = 47	\$486.00
<input checked="" type="checkbox"/> Multiple dependent claims	\$280.00
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	\$130.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	—
<input checked="" type="checkbox"/> Fee for processing an application filed with a non-English language specification	\$130.00
<input type="checkbox"/> Fee for processing and retention of application	—

Total completion of application fees \$2,522.00

One month Two months Three months Four months Five months

from: until:

Da:

Da:

U.S. Patent and Trademark Office

Total fees due \$2,522.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
15115/005001

In Re Application Of: **Hironobu KIYOMOTO, Hayami HOSOKAWA, Naru YASUDA, Kenji HOMMA, and Yukari TERAKAWA**

Serial No.

09/903,437

Filing Date

July 11, 2001

Examiner

Group Art Unit
3672

Invention: **OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:
Box Missing Parts

The fee of **\$2,522.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0591**
A duplicate copy of this sheet is enclosed.

Signature

Jonathan P. Osha, Reg. No. 33,986
ROSEN THAI & OSHA, LLP

Telephone: 713-228-8600
Facsimile: 713-228-8778

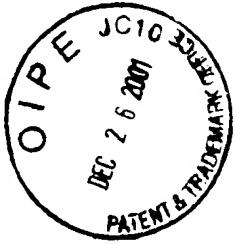
Dated: *11/18/01*

Assistant Commissioner for Patents Washington, D.C.
20231

Wendy L. Hippe
Signature of Person Mailing Correspondence

Wendy L. Hippe
Typed or Printed Name of Person Mailing Correspondence

cc



PATENT
ATTORNEY DOCKET NO. 15115.005001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hironobu KIYOMOTO et al. Art Unit : 3672
Serial No. : 09/903,437 Examiner :
Filed : July 11, 2001
Title : OPTICAL DEVICE AND APPARATUS USING OPTICAL DEVICE

Assistant Commissioner for Patents
Washington, DC 20231

SUBMISSION OF SUBSTITUTE DRAWINGS

In response to the Notice To File Missing Parts mailed September 27, 2001, please substitute the enclosed one hundred and thirty four (134) sheets of drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to our Deposit Account No. 50-0591, reference Number 15115.005001.

Respectfully submitted,

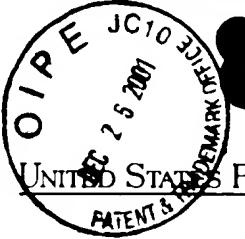
Date: 11/20/01

Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.
700 Louisiana Street, Suite 4550
Houston, TX 77002

Telephone: 713 228-8600
Facsimile: 713 228-8778

Date of Deposit 11-20-2001
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the U.S. Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,437	07/11/2001	Hironobu Kiyomoto	15115.005001

12/27/2001 SSESHE1 00088942 09903437

Rosenthal & Osha L.L.P.
 Suite 4550
 700 Louisiana
 Houston, TX 77002

01 FC:101	740.00 0P
02 FC:103	486.00 0P
03 FC:102	756.00 0P
04 FC:104	200.00 0P
05 FC:105	130.00 0P
06 FC:139	130.00 0P

CONFIRMATION NO. 8917

FORMALITIES LETTER



OC000000006800239

Date Mailed 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. *\$740 effective Oct. 1, 2001*
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d))
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(l), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined
- Substitute drawings in compliance with 37 CFR 1.84 because
 - drawing figures contain text that is not in English, including, for example, a "warning" that "it was originally not in English" that has been marked up to include the English text. See 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)

A copy of this notice MUST be returned with the reply.

Christy Young

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY